



06-25-01

JC17 198at29 PCT/PTO 22 JUN 2001
(8602*32)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: KARL-JOSEF HAACK ET AL.

SERIAL NO. 09/807,122

FILED:

FOR: SUBSTITUTED ISOPHOSPHINDOLINES
AND THEIR USE

ART UNIT: TO BE ASSIGNED

EXAMINER: TO BE ASSIGNED

Asst. Commissioner for Patents

Washington, D.C. 20231

"EXPRESS MAIL" No. ET284672750US DATE: June 22, 2001

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231

CARRIE A. MCPHERSON

(TYPED OR PRINTED NAME OF PERSON MAILING PAPER OR FEE)

Carrie A. McPherson
(SIGNATURE OF PERSON MAILING
PAPER OR FEE)TRANSMITTAL OF MISSING PARTS

Sir:

Please find the enclosed papers:

- 1) Original Declaration/Power of Attorney form executed by inventors.
- 2) Original executed Assignment along with one Recordation Form Cover Sheet (PTO-1595) and a check in the amount of \$40.00 to cover the recording fee.
- 3) The undersigned's check for \$130.00 to cover the surcharge for missing parts.
- 4) A copy of the Notice to File Missing Parts (PTO Form PTO-1533).

The Commissioner is authorized to debit any deficiency, or credit any overpayment, of the above-mentioned fees to our Deposit Account No. 03-2775.

06/28/2001 ATRAN1 00000030 09807122

01 FC:154

130.00 0P

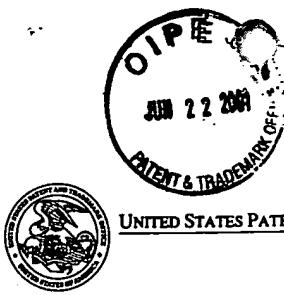
Respectfully submitted,

CONNOLLY, BOVE, LODGE & HUTZ, LLP

By AIP / Ashley I. Pezzner
Ashley I. Pezzner
Reg. No. 35,646
Tel. (302) 888-6270

30,962

AIP/cam
::ODMA\MHODMA\CB;149965;1



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

U.S. APPLICATION NO.		FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/807122		HAACK	K 198AT29

ASHLEY I PEZZNER CONNOLLY BOVE LODGE & HUTZ 1220 MARKET STREET PO BOX 2207 WILMINGTON DE 19899	INTERNATIONAL APPLICATION NO. PCT/EP99/07084
	I.A. FILING DATE PRIORITY DATE 23 SEP 99

DATE MAILED: **14 MAY 2001**

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494) an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee. Indication of Small Entity Status.
- Copy of the international application. Translation of the international application into English.
- Oath or Declaration of inventors(s). Translation of Article 19 amendments into English.
- Copy of Article 19 amendments. Other:
- Priority Document.
- The International Preliminary Examination Report in English and its Annexes, if any.
- Translation of Annexes to the International Preliminary Examination Report into English.

2. Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.

- U.S. Basic National Fee. Copy of the international application.

3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
 - The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
- b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
- c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.
 - The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
- d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

4. Additional claim fees of \$ _____ as a large entity small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

5. Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes MUST be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.
7. The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned with this response.

Enclosed: PCT/DO/EO/917 Notice of Defective Translation
 PTO-875 PCT/DO/EO/920

Shakeel Ahmed

Telephone: 703-305-3659

FORM PCT/DO/EO/905 (March 2001)

BEST AVAILABLE COPY